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Dock 1 No. 10762-9 US 7-10-01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Bitler et al Group Art Unit 1711  
Application No. 09/733,744  
Filed; December 8, 2000  
Title: Polymeric Modifying Agents  
(Divisional of Serial No. 09/216,520, filed December 16, 1998,  
Examiner: T. Yoon, Group Art Unit 1924)

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6/19/01

Assistant Commissioner for Patents  
Washington D.C. 20261

**SECOND PRELIMINARY AMENDMENT**  
**and**  
**REQUEST FOR CORRECTED FILING RECEIPT**

Sir:

**INTRODUCTION**

Inspection of the Filing Receipt mailed March 12, 2001, on this application made it apparent that in the papers filed by Express Mail on December 8, 2000, a mistake had been made in giving the Serial number of the parent application from which this application is divided. The Serial number given for the parent application was 09/216,640, and should have been 09/216,520. Serial No. 09/216,520 is still pending.

In a telephone conversation with the undersigned on March 26, 2001, Ms. Norma White, a supervisor in the Office of Initial Patent Examination, indicated that the appropriate action to correct that mistake was to send the following papers to Group Art Unit 1711 (to which this application has been assigned).

**CERTIFICATE OF MAILING UNDER 37 CFR 1.8**

I hereby certify that this correspondence is being deposited with United States Postal Service with sufficient postage as first-class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20261  
On March 26, 2001

Typed name of person signing this certificate: T. H. P. Richardson  
Signature



- (1) an Amendment of the papers filed December 8, 2000, correcting the Serial number of the parent application, and
- (2) a Request for a corrected Filing Receipt.

This paper is being filed in accordance with that suggestion.

#### AMENDMENT

1. Please amend the papers filed December 8, 2000, as follows.

In the Utility Patent Application Transmittal

In the section entitled Continuing Application Information, please replace "09/216,640" by -- 09/216,520 --

In the Preliminary Amendment

In the heading, please replace "Divisional of Serial No. 09/216,640" by -- Divisional of Serial No. 09/216,520 --

on Page 2 of the Preliminary Amendment, please cancel the amendment requested for Page 1, line 6-7 (a new amendment, which is the same as the canceled amendment, except for correction of the Serial Number of the parent application, is requested below).

In the Clean Copy of Claims As Amended by Preliminary Amendment

In the heading, please replace "Divisional of Serial No. 09/216,640" by -- Divisional of Serial No. 09/216,520 --

In the Disclosure under 37 CFR 1.56

In the heading, please replace "Divisional of Serial No. 09/216,640" by -- Divisional of Serial No. 09/216,520 --

2. Please make the following amendment in the Specification (this amendment is the same as the amendment requested to Page 1, line 6-7, in the Preliminary Amendment filed on December 8, 2000, except that in the second and fourth lines of the

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passage to be inserted, "Serial No. 09/216,640 " has been replaced by -- Serial No. 09/216,520 --).

Page 1, lines 6-7 replace "This application is related to application Serial No. 08/639,724 filed March 7, 1995 by Steven P. Bitler and Ray F. Stewart and" by

This application is a divisional of copending, commonly assigned Application Serial No. 09/216,520, filed December 16, 1998, by Bitler, Stewart, Wanthal, Kamp, Meyers, Taft and Schultz (Docket No. 10762-8). Serial No. 09/216,520 is a continuation of

Application Serial No. 08/920,161, filed September 12, 1996, now abandoned (Docket No. 10762-4), and a continuation-in-part of

(1) Application Serial No. 08/726,763, filed October 15, 1996, by Bitler and Stewart, now abandoned (Docket No. 10762-5) , which is a file wrapper continuation of Application Serial No. 08/639,724, filed March 7, 1995, now abandoned (Docket No. 10762);

(2) Application Serial No. 08/726,739, filed October 15, 1996, by Bitler and Stewart, now abandoned (Docket No. 10762-6), which is a continuation of Application Serial No. 08/624,688, filed May 19, 1995, now abandoned (Docket No. 10762-1), which is also a continuation of Application Serial No. 08/639,724, filed March 7, 1995, now abandoned (Docket No. 10762); and

(3) Application Serial No. 08/726,764, filed October 15, 1996, by Bitler and Stewart (Docket No. 10762-7), now abandoned, which is a file wrapper continuation of Application Serial No. 08/628,685, filed May 24, 1995, now abandoned (Docket No. 10762-2), which is also a continuation of Application Serial No. 08/639,724, filed March 7, 1995.

This application also --

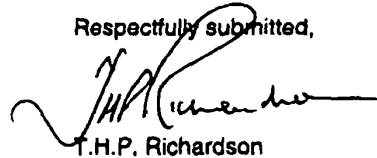
**REQUEST FOR CORRECTED FILING RECEIPT**

Please issue a Corrected Filing Receipt with the corrections noted in manuscript on the attached copy of the Filing Receipt mailed March 12, 2001.

**CONCLUSION**

It is believed that this paper will ensure that this divisional application has been properly filed during the pendency of the parent application, Serial No. 09/216,520, and that a corrected Filing Receipt will be issued confirming this fact. If, however, there is any doubt as to this fact, or if there are any outstanding points that could be usefully discussed by telephone, the Examiner is asked to call the undersigned.

Respectfully submitted,



T.H.P. Richardson

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